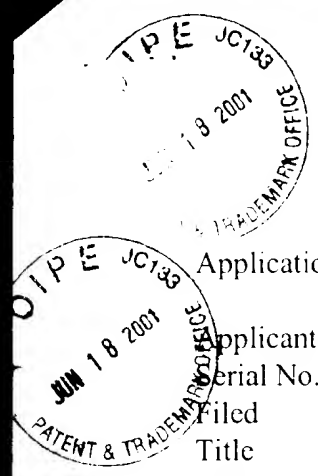


1762



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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TC 1700

Application of

Applicants : John D. Affinito et al.
Serial No. : 09/811,869
Filed : March 19, 2001
Title : METHOD OF MAKING A COATING OF A MICROTEXTURED SURFACE
Docket : BAT 0018 IA
Art Unit : 1762

Commissioner for Patents
Washington, DC 20231

CERTIFICATE OF MAILING
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to Commissioner for Patents, Washington, D.C. 20231, on June 13, 2001

Sir:

Patricia A. Prior
Attorney Reg No 33,758

INFORMATION DISCLOSURE STATEMENT
UNDER 37 CFR §§ 1.56, 1.97, AND 1.98

Applicants submit herewith patents, publications, and other information of which they are aware, which they believe may be material, as defined in 37 CFR §1.56(b), to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR §1.56(a). While the information referred to in this Information Disclosure Statement may be material pursuant to 37 CFR §1.56(b), the filing of this Information Disclosure Statement is not intended to, pursuant to 37 CFR §1.97(h), constitute an admission that any patent, publication, or other information referred to is, or is considered to be, material to the patentability of this invention. Further, pursuant to 37 CFR §1.97(g), the filing of this Statement should not be construed as a statement that a search has been made or that no other material information exists.

A listing of all items of information cited is attached on PTO/SB/08A, a substitute for form 1449A/PTO. Copies of the items are enclosed for the convenience of the Examiner.

Serial No. 09/811,869
Docket No. BAT 0018 IA

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This Information Disclosure Statement is being filed within the period set forth in 37 CFR §1.97(b) because it is filed within three months of the filing date of a national application or within three months of the date of entry of the national stage as set forth in 37 CFR §1.491 in an international application.

Respectfully submitted,
KILLWORTH, GOTTMAN, HAGAN
& SCHAEFF, L.L.P.

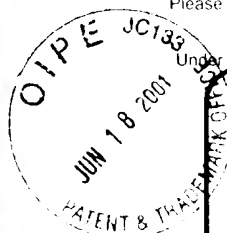
By Patricia L. Prior
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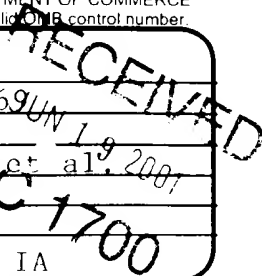
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Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>			Complete if Known	
Application Number	09/811,869			
Filing Date	03/19/01			
First Named Inventor	Affinito et al.			
Group Art Unit	1762			
Examiner Name				
Attorney Docket Number	BAT 0018 IA			



U.S. PATENT DOCUMENTS						
Examiner Initials ¹	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY ²	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			
		3,475,307		Knox et al.	10/28/1969	
		3,607,365		Lindlof	09/21/1971	
		4,098,965		Kinsman	07/04/1978	
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		5,260,095		Affinito	11/09/1993	
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Examiner Initials ¹	Cite No. ¹	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Office ³	Number ⁴	Kind Code ⁵ (if known)				
			EP 0 299 753		The BOC Group, Inc.	01/18/1989		
			EP 0 340 935		Spectrum Control, Inc.	11/08/1989		
			EP 0 390 540		Sharp Kabushiki Kaisha	10/03/1990		
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			EP 0 787 826		Becton Dickinson and Co.	08/06/1997		
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			EP 0 977 469		Hewlett-Packard Company	02/02/2000		

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Sheet

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of

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Application Number 09/811,869
Filing Date 03/19/01
First Named Inventor Affinito et al.
Group Art Unit 1762
Examiner Name
Attorney Docket Number BAT 0018 IA

U.S. PATENT DOCUMENTS

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		Number	Kind Code ² (if known)			
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		5,607,789		Treger et al.	03/04/1997	
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		5,731,661		So et al.	03/24/1998	
		5,747,182		Friend et al.	05/05/1998	
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		5,811,177		Shi et al.	09/22/1998	

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Examiner Initials ¹	Cite No. ¹	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Office ³	Number ⁴	Kind Code ⁵ (if known)				
			WO 87 07848		Spectrum Control, Inc.	12/30/1987		
			WO 95 10117		Catalina Coatings, Inc.	04/13/1995		
			WO 97 04885		Battelle Memorial Institute	02/13/1997		
			WO 97 22631		Talison Research	06/26/1997		
			WO 98 10116		Talison Research	03/12/1998		
			WO 98 18852		Catalina Coatings, Inc.	05/07/1998		
			WO 99 16557		Battelle Memorial Institute	04/08/1999		
			WO 99 16931		Battelle Memorial Institute	04/08/1999		
			DE 19603746		Bosch GmbH Robert	04/24/1997		
			JP 09059763		Matsushita Electric Works	03/04/1997		

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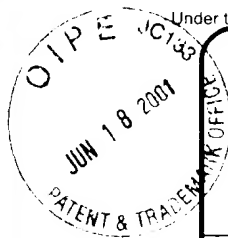
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Sheet 3 of 6

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Application Number	09/811,869
Filing Date	03/19/01
First Named Inventor	Affinito et al.
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Examiner Name	
Attorney Docket Number	BAT 0018

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U.S. PATENT DOCUMENTS

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		Number	Kind Code ² (if known)			
		5,811,183		Shaw et al.	09/22/1998	
		5,821,692		Rogers et al.	10/13/1998	
		5,844,363		Gu et al.	12/01/1998	
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		5,965,907		Huang et al.	10/12/1999	
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		5,996,498		Lewis	12/07/1999	
		6,013,337		Knors	01/11/2000	
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Examiner Initials ¹	Cite No. ¹	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY ¹	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Office ³	Number ⁴	Kind Code ⁵ (if known)				
			JP 08325713		Matsushita Electric Works	12/10/1996		
			JP 63136316		Hitachi Ltd.	06/08/1988		
			JP 64-18441		Yoshida et al.	01/23/1989		
			JP 2-183230		Akagi et al.	07/17/1990		
			BE 704 297		Continental Oil Company	02-01-1968		

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Filing Date	03/19/01
First Named Inventor	Affinito et al
Group Art Unit	1762
Examiner Name	

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Sheet	4	of	6	Attorney Docket Number	BAT 0018 IA
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		Filing Date	03/19/01
		First Named Inventor	Affinito et
		Group Art Unit	1762
		Examiner Name	
Sheet 5 of 6	Attorney Docket Number	BAT 0018 IA	

OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS			
Examiner Initials	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		AFFINITO, J.D., ET AL., "Vacuum Deposition of Polymer Electrolytes on Flexible Substrates," "Proceedings of the Ninth International Conference on Vacuum Web Coating," November 1995 ed R. Bakish, Bakish Press 1995, pg. 20-36	
		VOSSSEN, J.L., ET AL., THIN FILM PROCESSES, Academic Press, 1978, Part II, Chapter 11-1, Glow Discharge Sputter Deposition, p. 12-63; Part IV, Chapter IV-1, Plasma Deposition of Inorganic Compounds and Chapter IV-2 Glow Discharge Polymerization, p. 335-397	
		PENNING, F.M., ELECTRICAL DISCHARGES IN GASSES, Gordon and Breach Science Publishers, 1965, Chapters 5-6, p. 19-35, and Chapter 8, p. 41-50	
		AFFINITO, J.D., ET AL., "High Rate Vacuum Deposition of Polymer Electrolytes," Journal Vacuum Science Technology A 14(3), May/June 1996	
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		DE GRYS, R. ET AL., "SPUTTERED TRANSPARENT BARRIER LAYERS," pgs. 190-198	

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Group Art Unit	1762
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Attorney Docket Number	RAT 0018 IA

OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS

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